



FOLEY LARDNER

ATTORNEYS AT LAW

WASHINGTON HARBOUR 3000 K STREET, N.W., SUITE 500 WASHINGTON, D.C. 20007-5143 TELEPHONE: 202.672.5300 FACSIMILE: 202.672.5399 WWW.FOLEYLARDNER.COM

FACSIMILE TRANSMISSION

Total # of Pages 16 (including this page)

| TO: | PHONE #: | FAX #: |
|---|----------|--------------|
| Examiner Weisberger – Art Unit 3624 United States Patent and Trademark Office | | 703-872-9025 |

From: William T. Ellis/Daniel L. Girdwood

Date: March 21, 2003

Client/Matter No: 024051-0135

User ID No: 0251

MESSAGE:

Re:

Serial No.:

09/590,692

Inventor(s):

Gary Alan KEMP II et al.

Filing Date:

June 9, 2000

Title:

CLICK BASED TRADING WITH INTUITIVE GRID DISPLAY OF

MARKET DEPTH

I hereby certify that the following paper(s) and or fee along with any attachments referred to or Identified as being attached or enclosed are being transmitted via facsimile to the U.S. Patent and Trademark Office under on the date as shown below.

March 21, 2003

Ankur D. Shah

If there are any problems with this transmission or if you have not received all of the pages, please call 202.672.5340.

| Operator: | Time Sent: | Return Original To: |
|-----------|------------|---------------------|
| Орстасот | | Cymanthia T. Irving |
| | | |

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMILE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF THE DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORNEY-CLIENT COMMUNICATION, AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL, THANK YOU.





CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark

Cymanthia Irving

(Printed Name)

March 21, 2003

(Date of Deposit)

Office, Washington, D.C. on the date below.

Atty. Dkt. No. 024051-0135

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Gary Alan KEMP II et al.

Title:

CLICK BASED TRADING WITH

INTUITIVE GRID DISPLAY OF

MARKET DEPTH

Appl. No.:

09/590,692

Filing

06/09/2000

Date:

Examiner: R. Weisberger

Art Unit:

3624

AMENDMENT TRANSMITTAL

Commissioner for Patents Washington, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [X] Small Entity status under 37 C.F.R. § 1.9 and § 1.27 has been established by a Small Entity statement previously submitted.
- [] Small Entity statement is enclosed.
- [X] The fee required for additional claims is calculated below:

| | Claims as Amended | | Previously Paid For | | Extra Claims Present | | Rate | | Additional Claims Fee |
|--------------------|-------------------------|--------|------------------------|-------|----------------------------|--------|------------|---|--------------------------|
| Total Claims: | 73 | | 67 | | 6 | × | \$18.00 | = | \$108.00 |
| Independents: | 6 | _ | 6 | _ | 0 | - × | \$84.00 | = | \$0.00 |
| First presentation | | ultipl | e Dependen | t Cla | ims: | - + | \$280.00 | = | \$0.00 |
| , ige production | J., 2. 2., | | | | | LAIMS | FEE TOTAL: | = | \$108.00 |

[] Applicant hereby petitions for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:





Atty. Dkt. No. 024051-0135

2003

| \$0.00 | \$110.00 | Extension for response filed within the first month: | [] |
|----------|--------------|---|-------|
| \$0.00 | \$410.00 | Extension for response filed within the second month: | [] |
| \$0.00 | \$930.00 | Extension for response filed within the third month: | [] |
| \$0.00 | \$1,450.00 | Extension for response filed within the fourth month: | [] |
| \$0.00 | \$1,970.00 | Extension for response filed within the fifth month: | , j |
| \$0.00 | FEE TOTAL: | | • • |
| \$108.00 | FEE TOTAL: | CLAIMS AND EXTENSIO | |
| \$54.00 | ½ of above): | Small Entity Fees Apply (subtrac | [X] |
| \$54.00 | TOTAL FEE: | | , |

F&L DC BIO-TEC

- [X] Please charge Deposit Account No. 19-0741 in the amount of \$54.00. A duplicate copy of this transmittal is enclosed.
- [] A check in the amount of \$54.00 is enclosed.
 - The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741. If any extensions of time are needed for timely acceptance of papers submitted herewith, applicant hereby petitions for such extension under 37 C.F.R. §1.136 and authorizes payment of any such extensions fees to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date March 21, 2003

FOLEY & LARDNER

Customer Number: 22428

22428
PATENT TRADEMARK OFFICE

Telephone:

(202) 672-5300

Facsimile:

(202) 672-5399

3y _

Ankur D. Shah Attorney for Applicant

Registration No. 41,514